

From: DMHC Licensing eFiling
Subject: APL 21-013 (OFR) – 2021 Annual Assessments
Date: Thursday, April 1, 2021 9:27 AM
Attachments: APL 21-013 (OFR) – 2021 Annual Assessments.pdf

Dear Health Plan Representative:

Please see the attached All Plan Letter 21-013, regarding 2021 Annual Assessments.

Thank you.



Gavin Newsom, Governor
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ALL PLAN LETTER

Date: April 1, 2021
To: All Health Care Service Plans
From: Pritika Dutt, Deputy Director
Office of Financial Review
SUBJECT: APL 21-013 (OFR) – 2021 ANNUAL ASSESSMENTS

Please file on or before May 15, 2021, the *Report of Enrollment Plan*, as required by Health and Safety Code section 1356 and the California Code of Regulations, title 28, section 1300.84.6(a). The *Report of Enrollment Plan* is an online form to be filed electronically, via the Department's eFiling web portal: <https://wps0.dmhc.ca.gov/secure/login/>. This form is used to calculate the annual assessment for each health plan.

Once in the Department's eFiling portal, select *Online Forms*. From the drop-down menu, select *Annual Enrollment Report*, and then complete and submit the report. For questions or problems related to the electronic filing of the report or pertaining to the number of enrollees to be reported, please contact Suzanne Kanyavong at (916) 255-2443 or via electronic mail at Suzanne.Kanyavong@dmhc.ca.gov.

Please be aware that Health and Safety Code section 1356, subdivision (f) provides that **no refunds or reductions of the amount assessed shall be allowed** if any miscalculated assessment is based on a health plan's overestimate of enrollment.

Please note that the enrollment numbers reported in the *Report of Enrollment Plan* will be compared with the health plan's enrollment numbers included in Report #4: Enrollment and Utilization Table, filed with the March 31, 2021 quarterly financial statements. Therefore, the March 31, 2021 financial statements **must be filed with the Department prior to the filing** of the *Report of Enrollment Plan*. Please coordinate the submission of the *Report of Enrollment Plan* with the individual at the health plan who is responsible for submitting the March 31, 2021 financial statements.

Enhancements have been made to the online form to assist health plans that have to report Quality Improvement Fee (QIF), Administrative Services Only (ASO), or out-of-state enrollment for financial reporting purposes that create a discrepancy regarding the enrollment numbers provided on the *Report of Enrollment Plan*. If there is a discrepancy between the enrollment numbers reported in the *Report of Enrollment Plan* and Report #4: Enrollment and Utilization Table, the health plan will need to provide an explanation in the designated area on the form. This will alleviate the health plan having to file an additional document that explains the reasons for the discrepancy in enrollment reported. Again, please take care to accurately report your enrollment because there can be no refunds issued, pursuant to Health and Safety Code section 1356, subdivision (f).

For questions pertaining to this APL, including questions regarding how to calculate the health plan's assessments and regarding enhancements, please contact Suzanne Kanyavong at (916) 255-2443 or via electronic mail at Suzanne.Kanyavong@dmhc.ca.gov.